

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :
Kimio ISHIMARU et al. : Confirmation No. 4555
U.S. Patent Application No. 10/521,095 : Group Art Unit: 3694
Filed: August 22, 2005 : Examiner: Trotter, Scott S.
For: RESEARCH-AND-DEVELOPMENT INVESTMENT SECURITIES SELLING METHOD,
SYSTEM, PROGRAM, AND RECORDING MEDIUM

AMENDMENT AND RESPONSE UNDER 37 CFR § 1.111

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Office Action dated January 6, 2009, a reply being due April 6, 2009,
please amend the above-identified application as follows:

Amendments to the Abstract –page 2.

Amendments to the Claims – page 3.

Remarks/Arguments – page 24.